



TRANSMITTAL FORM

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Total Number of Pages in This Submission

11

Application Number

10/648,946

Filing Date

August 26, 2003

First Named Inventor

Santi

Art Unit

as yet unknown

Examiner Name

as yet unknown

Attorney Docket Number

010069.01

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Response to Missing Parts/
Incomplete Application

☐

Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐

After Allowance communication
to Technology Center (TC)

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please
Identify below):

1. Supplemental Information Disclosure
Statement + Duplicate Form 1449
2. Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

or

Individual name

Yuan Chao

Signature

Date

April 27, 2004

CERTIFICATE OF TRANSMISSION/MAILING

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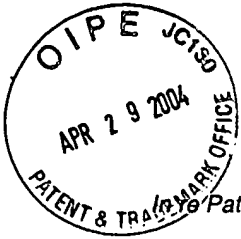
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Date

April 27, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop: _____ Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Appl'n of: Santi *et al.*

Att'y Ref. No.: 010069.01

Appl'n No.: 10/648,946

Filed: Aug. 26, 2003

Group Art Unit: (as yet unknown)

Examiner: (as yet unknown)

Title: MOTILIDE COMPOUNDS

Mailing Address: Kosan Biosciences, Inc.
Intellectual Prop. Dept.
3832 Bay Center Place
Hayward, CA 94545

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Supplemental Information Disclosure Statement ("Supplemental IDS") is submitted to the Patent Office in accordance with the duty of candor and good faith under 37 CFR §1.56. The Examiner is requested to consider the cited information in the examination of this application.

The cited information is listed in the accompanying Form PTO-1449 (1 sheet total), submitted in duplicate. It was cited in the Search Report for the PCT counterpart of the instant application. A copy of the citing document, bearing a mailing date of 19 April 2004, is enclosed. The Examiner is requested to return an initialed and signed copy of the form PTO-1449, in accordance with MPEP §609.

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date given below with sufficient postage as first class mail in an envelope addressed to Box Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name of person signing certificate: Nancy Impey
Signature: Nancy Impey Date: 4-27-04


This application was filed after June 30, 2003. In accordance with the PTO announcement in 1276 OG 255 (Aug. 5, 2003), copies of US patents and published applications are not being furnished with this application.

The citation of a particular item of information is not intended to be, and should not be construed as, an admission that such item of information is material to patentability as defined in 37 CFR §1.56 or qualifies as "prior art" within the meaning of applicable law. The materiality and "prior art" status of any item of information is a matter to be resolved during examination.

This IDS is submitted under the provisions of 37 CFR §1.97(b)(3), that is, before the mailing of a first Office Action on the merits. Therefore, no fee, certification or other formality is required. However, in the event a fee is required, the Commissioner is authorized to charge such fee (including but not limited to any fee(s) that might be required under 37 C.F.R. §§1.16, 1.17, or 1.18) against Deposit Account No. 50-2544.

Consideration of the cited information in the examination of this application is respectfully requested.

Respectfully submitted,

<u>April 27, 2004</u> Date	 Yuan Chao Reg. No. 32,118 Telephone (510) 751-5156 Facsimile (510) 751-5143
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Form PTO-1449

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)

Docket No. (optional)

010069.01

Application Number:

10/648,946

Applicant:

Santi *et al.*

Filing Date:

Aug. 26, 2003

Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initial	Cite No. ¹	Document Number	Date	Name	Class	Sub- class	Filing Date If Appropriate
	A31	US 6,437,151 B2	08/2002	Leadlay <i>et al.</i>	549	271	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Cite No. ¹	Document Number	Date	Country	Class	Sub- class	Translation Enclosed?

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initial	Cite No. ¹	Details of Document	Translation enclosed?

Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

¹ Applicant's unique citation designation number (optional).

Form PTO-1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION <i>(Use several sheets if necessary)</i>	<i>Docket No. (optional)</i> 010069.01	<i>Application Number:</i> 10/648,946
	<i>Applicant:</i> Santi <i>et al.</i>	
	<i>Filing Date:</i> Aug. 26, 2003	<i>Group Art Unit:</i>

U.S. PATENT DOCUMENTS							
Examiner Initial	Cite No. ¹	Document Number	Date	Name	Class	Sub-class	Filing Date If Appropriate
	A31	US 6,437,151 B2	08/2002	Leadlay <i>et al.</i>	549	271	

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OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>			
Examiner Initial	Cite No. ¹	Details of Document	Translation enclosed?

<i>Examiner</i>	<i>Date considered</i>
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¹ Applicant's unique citation designation number (optional).